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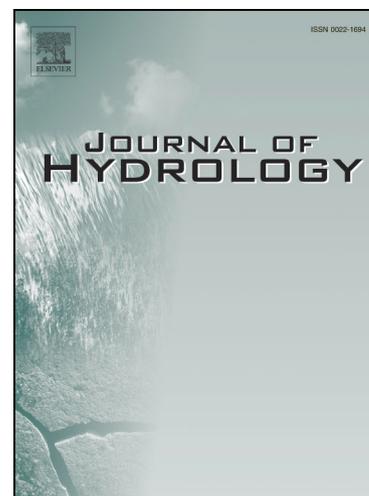
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# 1 Hydrologic performance of grass swales in cold maritime 2 climates: Impacts of frost, rain-on-snow and snow cover on 3 flow and volume reduction

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## 8 Abstract

9 Sustainable urban drainage solutions (SuDS) are a diverse set of design options that  
10 mitigate floods and improve water quality. Grass swales are the sole component of  
11 SuDS that transports runoff over long distances to downstream recipients. A deeper  
12 understanding of the performance of grass swales in different winter conditions is  
13 important in order for cities to achieve a greater climate resiliency. The goal of this study  
14 was to assess the impacts of frequent rain-on-snow, and freeze-thaw cycles on the  
15 hydrologic performance of grass swales. A total of 63 field synthetic runoff experiments  
16 were performed in a 5.8 m long section of a grass swale in the Urriðaholt neighborhood,  
17 Gardabaer, Iceland over 18 months. A three-fold reduction in peak flow attenuation was  
18 observed in winter (avg. 13%) compared to summer (avg. 38%) for hydraulic loadings  
19 ranging between 19 to 131 cm/h. The reduction in the performance of the swale was  
20 primarily due to frost formation and secondarily due to snow. The frequent rainfall,  
21 snowmelt, and rain-on-snow events elevated the soil water content and rendered the  
22 swale media susceptible to frost formation. The formation of pore ice within the 5 cm

23 soil horizon led to a considerable reduction in soil porosity, which negatively affected the  
24 infiltration capacity, and shortened runoff lag times. Snow affected the performance by  
25 concentrating the flow in narrow channels, which reduced the effective area of  
26 infiltration, but also led to longer lag times and stored a portion of the runoff water within  
27 its pack. Despite the deterioration in the swale efficiency in winter, infiltration was  
28 observed in all synthetic runoff experiments, indicating that frost was either  
29 porous/granular, or heterogeneous in nature. The swale served its purpose to  
30 moderately reduce runoff peaks and volumes, especially for small and medium events.  
31 This research highlights the importance of effectively draining infiltration-based systems  
32 in cold climates to avoid the adverse effects of low temperatures.

33

34

35

36 **Keywords:** Grass swales, SuDS, Infiltration into frozen soils, Runoff on snow, Flow  
37 reduction, Drainage capacity.

## 38 1. Introduction

39 Urban densification, together with intensifying weather, are exerting pressure on the  
40 conventional stormwater management systems and thus leading to more frequent and  
41 serious urban floods (Davis et al., 2012; Dietz & Clausen, 2008; Khan et al., 2012).  
42 Sustainable urban Drainage Systems (SuDS) have been increasingly implemented as a  
43 low impact stormwater control measure (SCM) to reduce runoff quantity and improve its  
44 quality. SuDS involve a wide range of design options with the goal of disposing  
45 stormwater in local waterways and mimicking the natural hydrological cycle. Vegetated  
46 swales are the sole component of SuDS elements that is intended to convey runoff  
47 across a watershed, while also performing the traditional functions of infiltrating and  
48 storing runoff, recharging the water table, and trapping and removing sediments and  
49 pollutants (Ahmed et al., 2015; Gavrić et al., 2019; Rujner et al., 2018). Hence, swales  
50 are paramount in making urban areas more climate resilient. The hydrological  
51 performance of swales during real and simulated runoff events has been extensively  
52 studied in temperate climates (e.g., Abu-Zreig et al., 2004; Davis et al., 2012; Deletic &  
53 Fletcher, 2006; García-Serrana et al., 2017; Liu et al., 2016; Lucke et al., 2014; Rujner  
54 et al., 2018; Shafique et al., 2018). A swale's hydrological and hydraulic performance is  
55 observed through reduced runoff volumes and delayed peak flows. The infiltration  
56 capacity of swales and their ability to store water are largely dependent on soil physical  
57 properties, type of soil, soil hydraulic conductivity, initial moisture content, and the area  
58 of the vegetated surface. A swale's infiltration capacity is also linked to the magnitude  
59 and intensity of runoff events (Davis et al., 2012). Bed slopes and surface roughness

60 provided by vegetation also affect flow retardation and infiltration (Monrabal-Martinez et  
61 al., 2018; Rujner et al., 2018).

62 SuDS water treatment and flood mitigation performance are negatively affected by  
63 winter conditions (Roseen et al., 2009). Even in highly conductive soils, such as sandy  
64 loam (Monrabal-Martinez et al., 2018; Paus et al., 2015), frost can clog the pores and  
65 form ice lenses that impair infiltration. In this regard, frost type is more important than  
66 frost depth (Muthanna et al., 2008). Frost type is largely governed by the pre-freezing  
67 soil water content, as saturated soils are more prone to concrete frost formation that  
68 blocks infiltration. Unsaturated or dry soil conditions promote granular and porous frost,  
69 both of which favor infiltration (Fach et al., 2011; LeFevre et al., 2009; Muthanna et al.,  
70 2008; Orradottir et al., 2008). A good drainage capacity is paramount to avoid high  
71 water content buildup, which has been shown to enhance volume reduction and prevent  
72 frost formation (LeFevre et al., 2009; Monrabal-Martinez et al., 2018; Muthanna et al.,  
73 2008).

74 Another factor known to promote urban flooding is the presence of snow. Winter  
75 runoff events become more voluminous with the addition of meltwater (Moghadas et al.,  
76 2018; Valeo & Ho, 2004). Being snow accumulation or snow deposit areas, SuDS are  
77 more prone to snowmelt compared to other vegetated urban areas (Bäckström &  
78 Viklander, 2000; Valeo & Ho, 2004). This is particularly true for swales, which are  
79 designed as channels or depressions and often located next to roads. When subjected  
80 to repeated freeze-thaw and rain-on-snow (RoS) cycles, icy layers form within the  
81 snowpack that prevent infiltration and can contribute to instantaneous runoff generation  
82 during RoS and snowmelt events (Caraco & Claytor, 1997; Garvelmann et al., 2015;

83 Muthanna et al., 2008). Moreover, the lack of a continuous insulating snow cover, as  
84 common in inland regions, renders coastal soils more susceptible to repeated meltwater  
85 infiltration and re-freezing contributing to impermeable frost formation (Orradottir et al.,  
86 2008). The repeated freezing and thawing processes can, however, alter soil aggregate  
87 stability and pore continuity, resulting in the creation of preferential flow paths that may  
88 promote infiltration (Flerchinger et al., 2013; Paus et al., 2015).

89 Little research exists on the performance of SuDS during frost, snow, and RoS in  
90 cold maritime climates. The most detailed studies on SuDS winter performance focused  
91 on bioretention cells, which exhibited anything from complete capture of runoff volumes  
92 to impeded infiltration in the presence of surface frost (e.g., Paus et al., 2015; Blecken  
93 et al., 2007; Khan et al., 2012; LeFevre et al., 2009; Muthanna et al., 2008). The  
94 different responses were attributed to a combination of soil hydraulic conductivity and  
95 drainage capacity, and the nature of runoff, whether it involves RoS or snowmelt  
96 (Muthanna et al. 2008; Paus et al. 2015). The effects of frost formation, soil saturation,  
97 and, more importantly, infiltration capacity were not investigated in depth in these  
98 studies. In addition, the number of snowmelt and RoS events were too few to clearly  
99 distinguish them from pure rainfall events. The studied bioretention cells included an  
100 underdrain and thick vegetation (e.g., Khan et al., 2012; Muthanna et al., 2008; Paus et  
101 al., 2015), which help keep the soil dry, prevent frost formation, and enhance infiltration.  
102 This differs from the relatively uniform vegetation cover and dense roots system of  
103 grass swales, many of which do not include an underdrain. Results from the  
104 bioretention cells may, therefore, not translate to grass swales. Particularly, the  
105 bioretention cells' good overall performance in cold climates might be attributed to the

106 fact that they are designed to temporarily pond water (Woods Ballard et al., 2015),  
107 which ultimately infiltrates the soil through preferential flow paths and macropores  
108 despite the frozen filter media (Khan et al., 2012). Swales, however, are designed to  
109 convey water and have a much shorter residence time. Very limited documentation  
110 exists on the functioning of grass swales in cold climates, especially during frozen  
111 conditions and in the presence of snow.

112 To the authors' knowledge, a systematic study of the hydrologic performance of  
113 SuDS during frost, snow, and, more specifically, RoS has not been conducted. RoS and  
114 intermittent mid-winter snowmelt events that have historically been associated with cold  
115 maritime climates are now becoming more common and severe in various regions  
116 around the globe due to climate change (Dong & Menzel, 2020; Garvelmann et al.,  
117 2015). Frequent RoS and freeze-thaw cycles were found to be responsible for 83% of  
118 water related insurance claims in the capital of Iceland (Arnardóttir, 2020). It is therefore  
119 essential to better understand the complex interconnections between soil, snow, and  
120 frost in order to successfully integrate such systems in stormwater management  
121 strategies. The goal of this study, therefore, was to assess the performance of grass  
122 swales under frost, RoS, and snow conditions. 63 synthetic runoff experiments were  
123 conducted in a swale segment in a residential neighborhood from March 2019 to August  
124 2020. The tests were conducted during a range of snow, frost, and low temperatures to  
125 allow comparisons between these different conditions.

126

## 127 2. Methods

### 128 2.1. Site description

129 Urridaholt is the first BREEAM (Building Research Establishment's Environmental  
130 Assessment Method) certified neighborhood in Iceland, which is sited on a hill in the  
131 Gardabaer municipality in the greater capital area (64° 4'18.46" N, 21° 54'37.11" W).  
132 The drainage network was designed to protect the water level and quality in the shallow  
133 13-ha Urridavatn pond at the bottom of the catchment (Fig. 1). For that purpose, excess  
134 runoff that infiltrates into roadside swales, detention ponds, and front loans from the 10-  
135 ha catchment area is conveyed via underdrains and discharged as concentrated flows  
136 into a network of swales that lead towards the pond. The main swale extends from the  
137 top of the hill towards the lake, with mild sloping sections separated by check dams to  
138 attenuate the flow.

139  
140 The swale was constructed in 2009, using local material without specific layering.  
141 The vegetation cover is 1 to 3 cm tall grass during winter and 5 to 10 cm in summer.  
142 The 45 cm swale media is comprised of mostly sand mixed with a small portion of  
143 gravel and fine sediments (Table 1), based on three samples from the top 30 cm  
144 horizon extracted in accordance with ASTM D7263 (ASTM, 2009) and analyzed in  
145 accordance with ASTM D6913 (ASTM, 2017) and USDA Soil Textural Classification  
146 (USDA, 1987). Three replicas were tested in the laboratory to determine the soil's  
147 saturated hydraulic conductivity,  $K_{sat}$ , using a constant head permeability test in  
148 accordance with ASTM D2434 (ASTM, 2019). Intact soil samples were also collected.

149 Soil porosity and bulk density were then determined. The bed below the 45 cm soil  
150 horizon consists of hard compacted clay lying on top of rock.

151

## 152 2.2. Experimental design

153 Synthetic runoff experiments were conducted in a 5.8 m long, trapezoidal swale  
154 section with an average bed width of 2 m (Fig. 2) and longitudinal slope of 3.3%. The  
155 side slopes ranged between 10 to 22%. A portable water delivery system, consisting of  
156 a 1 m<sup>3</sup> water tank filled with water from a nearby fire hydrant, was designated to feed  
157 the swale with simulated runoff inflows. Using a submersible pump, water was pumped  
158 from the tank into a smaller upstream reservoir equipped with a 45° V-notch weir using  
159 a submersible pump. Flow rates were adjusted by ensuring a constant water level in the  
160 delivery tank and increasing or decreasing the number of pumps used for the  
161 corresponding inflow rate. The overflow from the inflow weir box was distributed evenly  
162 over of the bottom channel of the swale. The overland flow that did not infiltrate from the  
163 swale (runoff) was collected from a plastic sheet inserted 20 centimeters beyond the turf  
164 and was directed into the outflow weir box at the end of the swale section.

165 The runoff simulations were performed using constant inflow rates of 0.2 l/s (very  
166 low), 0.65-1.0 l/s (low), 2.0 l/s (medium), and 4.2 l/s (high flow) for a duration of 20-30  
167 minutes. The experimental runs were timed to target a range of surface and soil  
168 conditions (Table 2). On each day, two to three experiments were conducted  
169 consecutively with a 30 to 45-minute resting period in between to limit the duration of  
170 the experiment, and to allow for all water in the depressions to infiltrate. A total of 63  
171 runoff simulations were conducted in the period from March 2019 to August 2020 to

172 capture data for winter, the transitional period of thawing during spring, and warm  
173 summers when swales are biologically active.

174

### 175 2.3. Data collection

176 **Long-term monitoring.** The swale was equipped with five water content  
177 reflectometers that continuously measured volumetric water content and temperature  
178 (type CS650, Campbell Scientific, Inc., accuracy  $\pm 1\%$ , Caldwell, Bongiovanni, Cosh,  
179 Halley, & Young, 2018). The water content reflectometers were installed at the middle of  
180 the lower swale section at depths of 5, 15, 25, 35, and 45 cm placed horizontally from  
181 top to bottom; the top is located just below the 5 cm thick turf layer (Fig. 2; Right).  
182 Readings were logged using a data logger (Campbell Scientific Inc.) every 1 minute. A  
183 soil-specific calibration was conducted in the laboratory, and a linear user-defined  
184 calibration equation was derived ( $R^2 = 0.99$ ) (Campbell Scientific Inc., 2012).

185 Ten-minute weather, air temperature, and rainfall data were collected from a  
186 weather station erected in February 2019 and operated by the Icelandic Meteorological  
187 Office [IMO] on behalf of the Gardabaer municipality (IMO, 2020a). The station is  
188 located approx. 70 m downstream from the study swale (Fig. 1). Daily snow depth was  
189 obtained from the Reykjavik No.1 weather station (64°07.648', 21°54.166'; IMO, 2020b).  
190 The station is situated on a hilltop vegetated with grass (52 m a.s.l) at a central location  
191 in the city at a distance of approximately 6 km from the study site.

192 **Pre/post surface cover conditions.** In winter, snow cover depth and density were  
193 measured in the field prior to the experimental runs by extracting three snow cores from  
194 the side of the swale or the unused swale section. The average and standard deviation

195 of the three measurements were determined and the overall accuracy of the snow depth  
196 measurements was determined to be 3-16% and for the snow density 1-13%. Snow  
197 height was re-estimated at the end of the consecutive experiments if the snow cover  
198 was not fully melted during the experiments. The presence of frost was investigated  
199 based on surface hardness, by inserting a blade into the soil and by visually noting the  
200 presence of ice crystals in the uppermost 5 cm (Orradottir et al., 2008). Surface and  
201 water temperature were measured using a handheld thermometer prior to the  
202 experimental run.

203 **Hydrological monitoring during experiments:** The inflow and outflow tanks were  
204 instrumented with pressure transducers (type Solinst 3001 Levellogger, accuracy  $\pm$   
205 0.05% of full range). Water level measured at 10-s intervals was then converted into  
206 flow rates using the Kindsvater–Shen equation for V-notch weirs (Shen, 1981).  
207 For the accuracy of the pressure transducer sensors ( $\pm 3$  mm) and the ranges of water  
208 level measurements, the overall accuracy of the flow measurements was estimated to  
209 be 1-6 %. For quality purposes, inflow and outflow rates were also measured manually  
210 using a graduated cylinder as well as a stopwatch in case of a calibration error or a  
211 sensor malfunction. The effective width and depth of surface flow was measured  
212 manually at 1-m intervals along the length of the swale segment. In the presence of  
213 snow, the area of snow being wetted was also measured manually at 1-m intervals.

214

## 215 2.4. Derived data

## 216 2.4.1. Hydrological performance metrics

217 For each synthetic experiment, the volume of total flow, the lag time between inflow  
 218 and outflow, and the peak flow reduction were determined from hydrographs, as shown  
 219 on Fig. 3a. The relative peak flow reduction or flow attenuation was defined as the  
 220 difference between the steady state inflow and outflow:

$$221 \Delta Q_{pk\ rel} = \frac{Q_{in} - Q_{out}}{Q_{in}} \quad (1)$$

222  
 223 The water balance components in the swale, and due to the limited duration of the  
 224 experimental runs, can be defined as  $V_{in} = V_{inf} + V_{out}$ . The inflow volume includes the  
 225 melt volume from the snowpack,  $V_{melt}$ . The total inflow and outflow volumes can be  
 226 determined by integrating the flow rates, and the relative infiltrated volume or volume  
 227 reduction, can be defined as

$$228 \Delta V_{inf\ rel} = \frac{V_{in} - V_{out}}{V_{in}} \quad (2)$$

229  
 230 The lag time between the inflow and outflow,  $T_{lag}$ , is defined as the difference  
 231 between the centroids of the two hydrographs as shown in Fig. 3a (Viessman & Lewis,  
 232 2002).

233 The fourth and final hydrological performance metric is the ratio of the wetted area  
234 to the total swale area. In absence of snow, the wetted width increases with the  
235 increase in inflow rate. In winter, however, snow cover can influence the effective area  
236 for infiltration, as schematically explained in Fig. 3. At the beginning of an event (Fig.  
237 3a), runoff water wets the snow until it reaches its maximum water-holding capacity  
238 (wetting phase). This also marks the start of the process of wetting the soil (Fig. 3b and  
239 c). Afterwards, the runoff forms a flow path in the snowpack and the flow is  
240 concentrated within the resulting channel until it reaches the outflow end of the swale  
241 (runoff phase). At this time the soil reaches its maximum saturation and steady-state  
242 conditions prevail (Fig. 3b). When the inflow to the swale ceases, runoff continues for an  
243 average of 10 minutes. At this stage, the remaining runoff water exits the swale in the  
244 form of outflow and infiltrates the ground and the drainage phase starts. Drainage is a  
245 slower process, as can be seen in Fig. 3b as saturation remains almost unchanged  
246 following the end of the experiment for a longer period before drainage commences.

247 The fraction of the wetted area or the areal efficiency,  $AE$ , was estimated as the  
248 ratio of average overland flow width,  $W_{flow}$ , and total swale width,  $W_{total}$ , taken as the  
249 width of the bottom channel of the swale.

250

$$AE = \frac{W_{flow}}{W_{total}} \quad (3)$$

251

## 252 2.4.2. Soil performance metrics

253 The swale was divided volumetrically into 5 sections according to the depth at which  
 254 each sensor was located with a volume of  $V_i$  ( $\text{m}^3$ ) and the total moisture content in the  
 255 swale at time  $t$ ,  $V_w(t)$  ( $\text{m}^3$ ), was estimated as

256

$$V_w(t) = \sum_{i=1}^5 \theta_i(t) V_i \quad (4)$$

257

258 where  $\theta_i$  is the measured water content by sensor  $i$  at time  $t$ .

259 The degree of saturation in the swale at time  $t$ ,  $DS(t)$  (%) was then estimated as  
 260 the ratio of the total moisture volume in the swale  $V_w$  at time  $t$  and saturated moisture  
 261 volume  $V_{sat}$  as

262

$$DS(t) = \frac{V_w(t)}{V_{sat}} \times 100 \quad (5)$$

263

264 The saturated moisture volume was determined as the sum of the available pore  
 265 volume in each layer based on the measured porosity. Furthermore, an event maximum  
 266 surface porosity was estimated as the ratio of the measured maximum water content at  
 267 the surface during the experiment and the measured porosity in the same layer, i.e.

268

$$\text{Eventmax surface porosity} = \frac{\max [\theta_{i=1}(t)]}{\text{Porosity}_{\text{measured}}} \times 100 \quad (6)$$

269

270 The drainage capacity,  $DC$ , of the swale was estimated as the reduction in the degree  
271 of saturation 24 h after the last event on each experimental day ( $\Delta DS_{24}$ ). Drainage can  
272 take from one to two days, depending on the swale's  $DC$ . A 24-hour drainage period  
273 was chosen due to the frequent rain and snowmelt that could lead to the rewetting of  
274 the soil before reaching field capacity.

275

## 276 2.5. Statistical analyses

277 Winter was defined as the four-month period when air temperature started to  
278 descend below 0 °C (November) and lasted until the end of March. Spring was defined  
279 as the two months of April and May. Surface conditions during winter and spring were  
280 classified into four groups: Neutral, N when neither snow nor frost was present. Frozen,  
281 F, if frost was detected with hardness test manually before the experimental run when  
282 surface; or alternatively, when soil temperature was below or close to zero, and/or when  
283 volumetric water content at 5 cm or deeper remained constant during the synthetic  
284 experiment. Snow, S, and Snow on frost, SoF, when snow was present at the surface.  
285 Warm conditions, W, indicated the three summer months (June to August), during which  
286 surface or soil temperature exceeded 10 °C. The differences between the means of the  
287 different surface conditions and seasons for each performance metric were analyzed

288 using analysis of variance (ANOVA), and multiple comparisons were performed using  
289 student's t test. Statistical analyses were performed using *JMP v. 14.0.0* (JMP, 2018).

290 The drivers for hydrological and soil performance metrics were quantified with a  
291 two-step linear regression analysis. In the first step, the correlation of performance with  
292 each external driver (i.e., inflow rate, antecedent degree of saturation, surface and soil  
293 temperature, and snow depth) was considered. The drivers that had the highest  
294 correlation and level of significance were determined as primary drivers. In the second  
295 step, a multiple linear regression analysis was conducted first with the primary drivers.  
296 Single and multiple linear regression analyses were performed for the performance  
297 metrics using performance indicators such as inflow rate, surface and soil temperature,  
298 degree of saturation, and snow depth by adding one indicator at a time to each model.  
299 For each performance indicator the model with the best coefficient of determination was  
300 chosen. Parameters that did not enhance the model performance were eliminated from  
301 the analysis.

302

### 303 **3. Results**

#### 304 3.1. Continuous monitoring results

305 The winter and spring 2019-2020 monitoring period was representative of average  
306 climatic conditions in Reykjavík (Arnardóttir, 2020): (1) 457 mm of precipitation fell  
307 during the six months starting in November; (2) Intermittent snow cover, with 12 snow  
308 cycles lasting from 1 to 25 days (Fig. 4a); (3) 21 days of sub-zero average air  
309 temperature, the longest cycle lasting for 10 consecutive days (Fig. 4b); (4) 15 soil

310 freeze-thaw cycles, the longest period with sub-zero soil temperature lasted for 15  
311 consecutive days (Fig. 4c). The maximum daily snow depth from Reykjavik Station No.1  
312 was 12 cm, which was approximately half of the range measured in the study swale as  
313 the swale can accumulate more snow. During this period, the soil water content  
314 remained relatively constant at field capacity at each depth (Fig. 4 d). Soil infiltration  
315 was noted by the momentary increases in water content at all depths during rain, RoS,  
316 and synthetic runoff experiments. Conversely, frost formation was reflected by the  
317 reduction in the measured soil water content at 5 cm depth corresponding to the phase  
318 change from liquid to solid. The presence of surface frost was identified when the soil  
319 moisture content at 5 cm depth did not reach full saturation during the synthetic  
320 experiments and/or when soil temperature was below 0 °C prior to the experiment. The  
321 most prolonged period of surface frost lasted from February 9 until March 29, 2020 (Fig.  
322 4c and d; shaded area). The runoff experiments (dotted vertical lines; Fig. 4c and d)  
323 were timed to capture the range of the experimental conditions, i.e., frost and snow.

324

### 325 3.2. Swale performance indicators

326 The synthetic runoff experiments in the grass swale highlight hydrological  
327 impairment in winter, especially during frost (F) and snow on frost (SoF). With the  
328 exception of areal efficiency ( $AE$ ), all performance metrics were statistically lower in  
329 presence of frost than when compared to warm ground conditions (Table 3; Fig. 5).  
330 Peak flow reduction dropped by a factor of 2.1 and 3.5, and volume reduction by a  
331 factor of 1.9 and 2.5 during frost in relation to neutral and warm conditions, respectively  
332 (Fig. 5a and b). Similarly, the outflow lag time ( $T_{lag}$ ), representative of swale residence

333 time, was 2.1 to 3.6 times lower during frost (F). This is consistent with the lower  
334 infiltration capacity and surface roughness of the grass cover. The drainage capacity  
335 (*DC*) was lowest in the presence of frost (SoF and to a lesser extent F; Fig. 5e).  
336 Although the average results for frost conditions were similar for the performance  
337 metrics tested (first two columns, Table 3), a greater variance was observed during F  
338 than SoF. Specifically, it should be noted that the maximum drainage capacity during  
339 frost matched the maximum during the warm period, which suggests that the type of  
340 frost or the extent to which the soil was frozen differed considerably.

341 A large variance was noted in the experimental runs during snow only conditions (S)  
342 suggesting that not only snow presence, but also snow characteristics such as depth  
343 and density, were influential factors. But on average, volume reduction was significantly  
344 higher in the presence of snow compared to frozen conditions (Table 3; Fig. 5b),  
345 indicating that a portion of the runoff volume was stored in the snowpack and was not  
346 released as outflow. The lag time was also longer, which can be explained by the initial  
347 wetting phase being longer in the presence of the snow (Fig. 5c; Fig. 3b). The *AE*,  
348 however, was on average lower during snow than frost conditions (Fig. 5d), consistent  
349 with the visual observation of the concentration of surface runoff (Fig. 3c).

350 The relative influence of surface versus soil conditions on the four hydrologic  
351 performance metrics was assessed by considering relationships with external  
352 experimental parameters. A single linear regression relationship, SLR, was performed  
353 to identify the key external drivers (i.e., inflow rate, antecedent moisture content,  
354 temperature, and snow depth), followed by a multiple linear regression analysis, MLR,  
355 to assess the relative importance of the top three to four co-acting drivers. Both single

356 and multi-regression analyses highlighted the hydraulic loading (presented as inflow  
357 rate,  $Q_{in}$ ) and surface temperature as the primary performance drivers for the event-  
358 based performance metrics, followed by initial snow depth (Table 4). Because of the  
359 considerable intercorrelation between surface temperature and soil temperature, only  
360 the parameter with higher correlation was incorporated in the multi-regression models.

361 The MLR confirmed that higher hydraulic loading reduced the flow attenuation, on  
362 the one hand, while increasing  $AE$  on the other hand (note signs in Table 4). Low  
363 surface and soil temperatures and high snow depth reduced the performance. These  
364 three external drivers accounted for 68% and 57% of the natural variance of flow and  
365 volume reduction, and 51% for  $AE$ .  $T_{lag}$  was largely governed by the inflow rate and  
366 snow depth which contributed to delayed outflows. Surface temperature was not  
367 significantly correlated with  $T_{lag}$  in the SLR but was significant in the overall MLR model.  
368 The initial degree of saturation was not significant but did enhance the MLR model.  $DC$   
369 differed vastly from the other performance metrics by being neither affected by surface  
370 conditions nor hydraulic loading (not shown, Table 4). Instead, the degree of saturation  
371 24 hours after the event was found to be mostly regulated by the maximum degree of  
372 saturation,  $DS_{max}$ , which was attained during the event, as well as the 24-hour average  
373 soil temperature. These two soil-related parameters accounted for 64% of the variance.

374 Peak flow reduction was a measure of the steady state abstraction due to infiltration  
375 during the experiments using the constant flow rates in this study. Upon reaching steady  
376 state, infiltration volume becomes independent of inflow rate. Hence, the flow  
377 attenuation decreased with the increase in inflow rate. This relationship was strongest in  
378 neutral winter conditions ( $R^2 = 0.65$ ,  $p < 0.01$ ; Fig. 6a). In snow conditions, the

379 underlying soil was similar in nature to neutral conditions and consequently the  
380 relationship was just as strong (Fig. 6b). But as snow reduced  $AE$ , the flow and volume  
381 attenuation were lower than in neutral conditions. In the presence of frost (F and SoF),  
382 the relationship was less strong and more variations in infiltration capacity were  
383 observed (Fig. 6c and d; left panel). In frost only conditions, the relationship between  
384 inflow rate and  $AE$  was stronger than when snow was present on top of frost as  
385 expected (Fig. 6c and d; right panel). In warm conditions, however, the relationship  
386 between inflow rate and flow attenuation broke down (Fig. 6e). This suggests that  
387 another factor was influencing peak flow reduction, i.e., the initial degree of soil  
388 saturation, which, as previously mentioned, did not significantly influence the hydrologic  
389 performance metrics over the entire season ( $p > 0.05$ , Table 4). Nevertheless, the  
390 antecedent degree of saturation was found to be a major driver explaining the variability  
391 in flow attenuation in summer, albeit not statistically significant at the 5% level (Fig. 7).  
392 In winter, the degree of saturation did not exert a significant influence on peak flow  
393 reduction.

394

### 395 3.3. Hydrologic response of the swale

396 No significant correlation was found between hydrological performance of the swale  
397 and either snow depth or the initial degree of saturation over the entire study period  
398 (Table 4). However, a closer look at specific runoff experiments provides valuable  
399 insights into the processes that were not fully explained by statistical significance tests.  
400 To better understand the relationship between the hydrological response and the initial  
401 degree of saturation, the hydrographs of two consecutive experiments in summer 2019

402 were considered (Fig. 8a and b). Preceded by an abnormally long dry period of 2  
403 weeks, the initial degree of saturation was at an absolute seasonal low (50%) prior to  
404 the former, medium flow experiment. The corresponding peak flow and volume  
405 reductions were the highest recorded throughout the study period for a medium flow (61  
406 and 75%, respectively). The initial degree of saturation was much higher in the  
407 consecutive experiment (70%). A higher outflow rate was observed in the second  
408 experiment despite the much lower inflow rate used. Consequently, flow and volume  
409 reductions were reduced by a factor of 2 due to the increase in the initial water content  
410 (Fig. 8b).

411 Regression analyses indicated a negative relationship between snow depth and the  
412 swale performance metrics (Table 4), though not statistically significant. This confirmed  
413 that runoff events became more voluminous with the addition of meltwater. But this  
414 result is not generalizable, as noted from the hydrographs during two runoff experiments  
415 with 30 cm thick snow cover (Fig. 8c and d). When the soil was frozen and a high inflow  
416 rate was used, the water was initially stored in the snow to be suddenly released  
417 afterwards in a dam-burst- like manner, producing an outflow peak that exceeded the  
418 inflow rate. However, volume reduction was 23% due to the storage of runoff water in  
419 the snowpack. In contrast, when the soil was not frozen and a low inflow rate was used,  
420 the delay in outflow was 24 minutes which is the longest  $T_{lag}$  observed during the study  
421 period. The runoff peak did not surpass the inflow rate and the volume reduction was as  
422 high as 83%. This indicated that the presence of thick snow cover can either accentuate  
423 or severely attenuate runoff peaks, while providing considerable volume reduction.

424

#### 425 3.4. Soil response during winter runoff events

426 Long-term measurements of soil and hydrological inputs provide valuable insights  
427 into the influential factors that contributed to frost formation. The first surface frost  
428 occurred after a rainfall event on November 22 (Fig. 4b; double dashed line) that was  
429 followed by a freezing cycle (min.  $-8.3\text{ }^{\circ}\text{C}$ ). The second soil frost period occurred in  
430 December due to a long period of surface cooling. The soil thawed in January, during  
431 which time the water content at 5 cm repeatedly peaked at 54% (corresponding to 99%  
432 degree of saturation). Two synthetic experiments on January 29 and 31 accompanied  
433 with subfreezing air temperature (min.  $-6.4\text{ }^{\circ}\text{C}$ ), led to frost formation at the 5 cm soil  
434 horizon, during this period the soil temperature dropped to a minimum of  $-1.3\text{ }^{\circ}\text{C}$ . Frost  
435 was interrupted once again on February 7 as a result of a RoS event accompanied by  
436 an increase in air temperature (max.  $8.1\text{ }^{\circ}\text{C}$ ). Following that, a sharp reduction in  
437 temperature was recorded (min.  $-10\text{ }^{\circ}\text{C}$ ), and with the absence of an insulating snow  
438 cover, surface water content dropped dramatically to 7%. These frost conditions were  
439 maintained for almost one and a half months, which was reflected in both an  
440 uncharacteristically low water content and limited response at 5 cm soil depth during the  
441 subsequent runoff events. The successive wetting of the soil during this period resulting  
442 from the multiple snowmelt events, as well as the synthetic runoff experiments led to the  
443 extended period of frozen soil which lasted until the end of winter.

444 A closer look at the changes in soil saturation and temperature during specific  
445 events gives insights into the variations in frost type and infiltration capacity. When the  
446 soil was partially frozen (Fig. 9a; left panel), the water content in the deeper layers  
447 responded almost immediately to hydrological inputs while the top layer responded

448 gradually peaking at 14% saturation at the end of the experiment . Hence, pore ice was  
449 present in the top 5 cm throughout the experiment, while, at the same time, allowing  
450 water to seep to the non-frozen ground below. Moreover, infiltrating water melted some  
451 of the frost in the topsoil during the experiment. This suggests that preferential flow  
452 paths and/or air-filled pores were present within the top frozen layer. During the most  
453 severe frost event (February 17; Fig. 9a; center), the deeper layers of the soil also  
454 responded to the runoff at the surface. However, the response was slightly delayed  
455 indicative of more resistance to the flow which might have resulted from frost  
456 penetration within the 5-15 cm soil horizon and thereafter thawing with the infiltrating  
457 water (Fig. 9a and b; center). It should be noted that the topsoil was frozen throughout  
458 the inflow period (i.e., soil saturation remained at 6%). In spite of this, downward water  
459 movement was still observed in the swale media. By the end of April (Fig. 9a; right), the  
460 soil returned to neutral conditions, as attested by the increase in saturation in the entire  
461 soil profile during the experiment on April 30. Lastly, the seasonal variations in soil  
462 porosity of the top layer throughout the study period showed two interrupted periods of  
463 low porosity. The first of these was during experiments in November and December and  
464 the second during experiments in February and March (Fig. 9c). Afterwards, the  
465 topsoil's porosity increased from 87% on April 8 to 98% on April 30 when the soil  
466 thawed completely. This suggested that the swale did not undergo a consistent  
467 reduction in infiltration capacity during winter but was subjected to repeated cycles of  
468 freezing and thawing as a result of the frequent rainfall events accompanied with an  
469 increase in air temperatures.

470

## 471 4. Discussion

### 472 4.1. Seasonal swale performance in cold maritime climate

473 The focus of this research was on the winter performance of grass swales, which  
474 are usually designed to infiltrate and treat small rainfall events and attenuate peak flow  
475 from large events (Woods Ballard et al., 2015). The 5.8 m long test section of the swale  
476 infiltrated on average 46% of the low flow rates (0.65-1 l/s) during the study period,  
477 which covered winter, spring, and summer. These low hydraulic loadings constituted  
478 almost half of the measured flows naturally entering the SuDS system. The infiltration  
479 was, on average, 7% and 32% for the higher flowrates during winter and summer,  
480 respectively. During extreme frozen conditions, a significant reduction in infiltration  
481 capacity was observed. Nevertheless, there were no indications that the swale capacity  
482 was exceeded. Overflowing of the side slopes was never observed in the swale, and the  
483 average flow depth was kept below 10 cm.

484 The winter hydrological performance decline observed in this study was consistent  
485 with previous research connecting reduced winter performance with vegetation  
486 dormancy and lower temperatures (Roseen et al., 2009). But more importantly, this  
487 study showed that the winter performance in a cold maritime climate fluctuated on a  
488 synoptic basis because of intermittent frost formation at the surface, and to a lesser  
489 extent, frequent snow cycles. The results of this study are best compared with SuDS  
490 studies in cold coastal regions experiencing frequent freeze-thaw and RoS events,  
491 namely in the prairie environments in Canada and in Norway. Khan et al. (2012)  
492 observed an average peak flow reduction in bioretention cells of 92% for winter events  
493 with hydraulic loading of 25 cm/h. This was attributed to the water eventually infiltrating

494 via preferential flow paths and reaching the underdrain without utilizing the entire media  
495 despite the presence of frost at a depth of 15 cm. Similarly, Paus et al. (2015) found that  
496 the total volume reduction achieved by three bioretention cells ranged between 55 to  
497 100%. In contrast, the average flow attenuation in the swale in this study was only 13%  
498 for hydraulic loadings ranging between 19 to 131 cm/h. This comparison supports the  
499 findings of Roseen et al. (2009) that swales suffer the most noticeable performance  
500 decline in winter of SuDS elements. This can be attributed to the shorter retention time  
501 in a conveyance-based system such as swales compared to retention-based systems  
502 such as bioretention cells.

503

504 4.2. The interactions between runoff, snow, and soil frost

505 Muthanna et al. (2008) hypothesized that RoS played a role in frost formation based  
506 on the observation that the infiltrated stormwater refroze following both RoS and rainfall  
507 events. Roseen et al. (2009) also noted that frost resulting from freeze-thaw cycles was  
508 common and that soil freezing usually occurred before and after rain and snowmelt  
509 events. However, neither study quantified the effects of the frequent freeze-thaw cycles,  
510 the interactions between snow and frost, or their impact on subsequent events. In this  
511 study, however, synthetic runoff events were conducted in the presence of snow with  
512 the intention to simulate RoS events. Closely spaced water content and temperature  
513 measurements at different soil depths allowed for a continuous assessment of soil  
514 infiltration and frost formation in grass swales over an entire winter. The results clearly  
515 demonstrate that intermittent midwinter rain and RoS events led to high water contents  
516 and promoted frost formation. Specifically, during winter 19/20, three events (natural

517 and synthetic) initiated separate freezing cycles which reduced soil porosity (Fig. 4c and  
518 d). The temporal wetting of the soil, which in some cases was combined with the  
519 removal of the snow cover, rendered the soil more susceptible to temperature  
520 fluctuations, as evidenced in the sharp reduction in soil temperature following these  
521 events (Fig. 4c). This is a common complication with the implementation of SuDS in  
522 coastal cold regions that experience repeated RoS and freeze-thaw cycles (Khan et al.,  
523 2012; Muthanna et al., 2008).

524

#### 525 4.3. Key mechanisms affecting winter infiltration

526 The primary mechanism for hydrological performance deterioration was soil frost.  
527 Soil permeability in the presence of frost varied across experiments due to the  
528 heterogeneous nature of soils and frost formation, as well as the presence of cracks  
529 and preferential flow paths resulting from the frequent freeze-thaw cycles. Spatial  
530 variability was observed in six single-ring infiltration measurements conducted in an  
531 adjacent swale (Zaqout, unpublished data). On any given day, infiltration was impeded  
532 in certain locations while it was not affected in other locations. The formation of porous  
533 or granular frost with loose ice crystals may permit or even enhance infiltration  
534 (Flerchinger et al., 2013). A common factor that influences infiltration is frost depth  
535 (Fach et al., 2011). In this study, frost was only detected in the topmost layer at 5 cm  
536 soil depth (Fig. 4c and d). It is not clear how far the frost penetrated between 5 and 15  
537 cm depth. Soil temperature at 5 cm intervals within the first 20 cm of the profile would  
538 have helped clearing this ambiguity on maximum frost depth. However, an excellent

539 indication of soil infiltration and frost formation throughout the soil matrix was provided  
540 by water content probes spaced 10 cm apart (see Fig. 9 and discussion thereof).

541 The secondary mechanism that negatively affected winter performance was snow  
542 cover. Snow reduced the swale's surface area by concentrating surface runoff which  
543 would have otherwise been more evenly distributed in snow-free conditions. Moreover,  
544 the added snowmelt led to more voluminous runoff events and reduced water  
545 temperature, which negatively affects infiltration. Nevertheless, for the least intense  
546 runoff experiments (low flow), snow was also found to enhance the hydrological  
547 performance by storing significant amounts of runoff water and by delaying flow peaks.  
548 This storage function was dependent, however, on snow properties and the underlying  
549 soil conditions. Water stored in the snowpack was released instantaneously in a "dam-  
550 burst-like" release of water when thick snow was coupled with the presence of soil frost  
551 or dense snow layers. Such a burst was only observed once in this study, making  
552 further investigations necessary to verify the results. Lastly, the storage function of the  
553 snow becomes less important in longer duration events, as all of the snow will melt  
554 eventually and add to runoff. Most of the extreme winter flooding events recorded in  
555 Reykjavík involved more than 6 hours of rainfall (Arnardottir, 2020).

556

#### 557 4.4. The importance of soil drainage in design

558 Previous studies have found that initial degree of saturation negatively influences  
559 the hydrological performance of SuDS (Rujner et al., 2018). This relationship was only  
560 detected during summer in this study, after dry periods lasting for weeks at a time (Fig.  
561 7). Degree of saturation is highly linked with *DC*: Poorly drained soils tend to maintain a

562 high degree of saturation, which in turn reduces their capacity to mitigate subsequent  
563 events. A *DC* in the range of only 20 to 26%, as in this study, might have exacerbated  
564 the impacts of winter conditions and promoted frost formation. Additionally, the high  
565 water content in the swale also affected the performance during non-frozen conditions  
566 by reducing the available volume for infiltration at the onset of runoff events. This was  
567 consistent with the previous findings from studies on infiltration-based systems (e.g.,  
568 LeFevre et al., 2009; Muthanna et al., 2008) and emphasizes the importance of proper  
569 soil drainage, especially for winter operations.

570 We argue that *DC* is particularly important in a cold maritime climate, which  
571 frequently experiences precipitation in liquid form (as opposed to solely dry snow). The  
572 combination of liquid inputs and poor *DC* makes the soils more susceptible to freezing,  
573 even though air temperatures fluctuate only moderately around zero (the minimum daily  
574 temperature recorded in Reykjavík is  $-12^{\circ}\text{C}$ ). Frost events particularly occurred 1-2 days  
575 following rainfall, simulated runoff, and RoS events which might not have been a long  
576 enough period to properly drain the swale media prior to the onset of freezing air  
577 temperatures. This was observed in the sharp drops in moisture content and soil  
578 temperature at 5 cm depth after the November 22, January 29 and 31, February 11 and  
579 25, March 6 and 11 events (Fig. 4c and d). Frost formation was confirmed in the runoff  
580 experiments following those events and it was reflected in the deterioration in  
581 performance indicators.

582 To maintain a good soil drainage, and reduce the risk of frost formation, care must  
583 be taken in the design and operation of swales. Fine-textured soils such as clay and silt  
584 should be avoided in the filter media due to their low infiltration capacity and high water

585 holding potential. Dust accumulation might occur when swales are located next to roads  
586 or parking lots with heavy traffic, especially in cold climates where studded tires that  
587 increase road erosion are used extensively (Barr, 2020). Filter strips are often used to  
588 capture road dust and sediments before entering the swale. In this study, the swale was  
589 adhering to best practices, but the swale filtering media laid on hard compacted clay.  
590 This might explain the low drainage capacity observed throughout the study period.

591

## 592 **5. Conclusions**

593 Maritime winter climate is both wet and mild, with air temperatures fluctuating  
594 around the freezing point and precipitation falling as rainfall, rain-on-snow and snow.  
595 This research assesses the impacts of co-acting winter conditions on the hydrological  
596 performance of grass swales. To that goal, 63 synthetic runoff experiments were  
597 performed in a grass swale over 18 months. The swale hydrological performance was  
598 evaluated based on five metrics: the relative peak flow reduction, the relative infiltrated  
599 volume, runoff lag time, soil drainage capacity, and areal efficiency.

600 Results indicated a significant impairment in the hydrologic performance during  
601 winter. Peak flow attenuation was 13% in winter as compared to 20-40% in spring and  
602 summer. Similarly, the relative infiltrated volume was 22% in winter vs. 30-60% during  
603 the warm season. Poor flow attenuation was primarily associated with the reduction in  
604 soil porosity in the top 5 cm horizon because of the formation of ice lenses. However,  
605 macropores created by vegetation roots, biological activity, and the frequent freeze-  
606 thaw cycles allowed for infiltration to the deeper layers of the soil. Thus, no concrete  
607 frost fully blocking infiltration was observed during the study period. Runoff lag times

608 where three times shorter in winter compared to summer reflecting a reduction in  
609 surface roughness. While the surface frost significantly reduced the overall performance  
610 of the swale, it did not compromise the swale overall function of attenuating small and  
611 medium events.

612 Snow cover provided both initial storage and resistance to overland flow resulting in  
613 longer lag times and high volume reduction during short-duration, low hydraulic loading  
614 events. But as an event progressed, overland flow formed in a concentrated path within  
615 the snowpack, effectively reducing the area of infiltration. The snow melted and added  
616 to the runoff volume. In the most severe case, the runoff initially stored in a thick snow  
617 was released instantaneously similar to a dam burst to the effect that the outflow  
618 exceeded inflow the swale. Hence, the combination of sudden snowmelt and low  
619 infiltration capacity can generate more intense rain-on-snow induced runoff events.  
620 However, this condition was observed only once in this study.

621 This study provided, to the authors' best knowledge, the first systematic  
622 assessment of the relative importance of hydraulic loading, surface and soil conditions  
623 on the seasonal performance of grassed swales in a cold climate. Single and  
624 multivariate regression analyses highlight that winter peak flow and volume reduction  
625 were primarily affected by surface temperature, followed by hydraulic loading and to a  
626 lesser extent snow depth. The conditions of the underlying soil did not significantly  
627 affect the infiltration in winter but played a large role in explaining the variance in  
628 summer performance. The highest infiltration capacity observed in this study was when  
629 the soil was half saturated, which occurred in summer following a two-week dry period.  
630 Runoff lag times and areal efficiency were primarily affected by inflow rate and

631 secondarily by surface conditions, such as snow depth. Soil drainage capacity was,  
632 however, governed by the 24-hour average swale media temperature following the  
633 event and the maximum soil saturation reached during the event.

634 In a maritime climate, with air temperature oscillating in the order of 15 times around  
635 the freezing point during winter, precipitation falls often in liquid form. Frequent rain and  
636 rain-on-snow infiltrates the ground which keeps the soil moist. In the absence of an  
637 insulating snow cover, the soil is more susceptible to freezing. Frost was observed to  
638 form within 1-2 days after runoff events, which negatively affected the swale's ability to  
639 infiltrate subsequent events. This combined with a soil drainage capacity of only 20-26%  
640 in 24 hours, as found in this study, kept the soil highly saturated during winter and more  
641 susceptible to freezing.

642 With rising winter temperatures, regions that historically underwent a seasonal frost  
643 and snow period may now experience more frequent and intense mid-winter rain-on-  
644 snow followed by frost. This study confirms that SuDS may serve as a low impact, low-  
645 cost solution to reduce urban flooding in such cyclic climatic winter conditions. A special  
646 attention is, however, required in the design and operation of SuDS in relation to rain-  
647 on-snow and frost cycles. Proper soil drainage is instrumental in order to maintain the  
648 soil relatively dry and less susceptible to the frequent freezing. Limiting the presence of  
649 fine sediments that decrease infiltration is required both in the filter media during the  
650 construction phase and from surface loading during the operation lifetime of swales.  
651 The reduction in infiltration capacity during winter must also be taken into account when  
652 sizing SuDS. Therefore, incorporating site-specific considerations is recommended for  
653 the designing of infiltration-based components.

654

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780 86017-759-3

781 **Tables**

782 Table 1 Summary of soil characteristics determined in the laboratory using samples extracted  
 783 from the study swale.

<b>Soil characteristics</b>	
Soil texture	Sandy loam
Gravel: sand: fines	15-20:75-82:2.5-4
Bulk density ( $\rho_s$ )	1.2-1.42
Porosity ( $\phi$ )	0.46-0.53
Organic content	2-8%
Saturated hydraulic conductivity ( $K_{sat}$ )	$6.23 (\pm 0.10) \times 10^{-5}$ m/s

785 Table 2 Range of experimental initial conditions during the period of spring 2019 to summer  
 786 2020.

	$DS_{ini}$ (%)	$T_{air}$ (°C)	$T_{surf}$ (°C)	$Q_{in}$ (l/s)	SD (cm)
Average:	70	6.1	5.6	2.0	17.5
Range:	49-90	-3 to 19	-1 to 20	Very low: 0.2 Low: 0.65 to 1.0 Medium: $2 \pm 0.2$ High: $4.2 \pm 0.3$	2 to 30

Notes:  $Q_{in}$  = inflow rate;  $DS_{ini}$  = initial degree of saturation;  $T_{air}$  = air temperature;  $T_{surf}$  = soil surface temperature; SD = snow depth.

788 Table 3 Means (standard deviations) of the hydrological performance metrics for the different  
 789 surface conditions and the level of significance for the comparison between the specific winter  
 790 conditions i.e., frost (F), snow on frost (SoF), and snow (S) and neutral (N) and warm (W)  
 791 conditions.

	Winter			Reference	
	F	SoF	S	N	W
	(n = 10)	(n = 9)	(n = 7)	(n = 9)	(n = 24)
$\Delta Q_{pk\ rel}$	12 (7.3) **/**	11 (3.6) **/**	16 (8.5) +/**	25 (8.0) -	38 (10) -
$\Delta V_{inf\ rel}$	19 (11) **/**	20 (8.5) */**	39 (26) +/+	37 (11) -	50 (14) -
$T_{lag}$	1.6 (0.8) +/**	2.3 (1.2) +/*	7.4 (8.0) */+	3.3 (2.2) -	5.7(3.0) -
$AE$	58 (11) +/+	53 (8.6) +/*	43 (16) */**	58 (11) -	63(11) -
$DC$	21(5.3) +/*	20 (0.8) +/**	23 (3.3) +/+	22 (3.0) -	26(1.5) -

Notes: Significance in relation to N/W. +  $p > 0.05$  \*  $p < 0.05$  \*\*  $p < 0.01$  \*\*\*  $p < 0.001$ .

793 Table 4 Multiple and single regression for the performance metrics, regression coefficients, standards error, correlation coefficients,  
 794 and models R<sup>2</sup>. Not included parameters referred to as N/I.

MODEL		$\Delta Q_{pk\ rel}$		$\Delta V_{inf\ rel}$		AE		$T_{lag}$	
		B (SE)	r	B (SE)	r	B (SE)	r	B (SE)	r
1	$Q_{in}$	-2.95 (0.77)	-0.38**	-6.61 (1.33)	-0.47***	5.82 (0.96)	0.51***	-1.50 (0.27)	0.24***
	$T_{sur}$	1.41 (0.17)	0.76***	1.51 (0.27)	0.62***	N/I	N/I	0.19 (0.06)	0.06 <sup>+</sup>
	$T_{soil}$	N/I	N/I	N/I	N/I	0.96 (0.25)	0.36**	N/I	N/I
	$R^2$	0.66***		0.53***		0.47***		0.27***	
2	$DS_{ini}$	N/I	N/I	-0.24 (0.18)	-0.01 <sup>+</sup>	N/I	N/I	-0.05 (0.04)	0.00 <sup>+</sup>
	SD	-0.17 (0.15)	-0.34 <sup>+</sup>	0.38 (0.25)	-0.07 <sup>+</sup>	-0.37 (0.19)	-0.28 <sup>+</sup>	0.27 (0.05)	0.17**
	$R^2$	0.68***		0.57***		0.51***		0.58***	

Notes: B = regression coefficient for MLR. SE = std. error. r = correlation coefficient for SLR. <sup>+</sup>  $p > 0.05$  \*  $p < 0.05$  \*\*  $p < 0.01$  \*\*\*  $p < 0.001$ .  $T_{sur}$  = surface temperature.  $T_{soil}$  = avg. soil temperature 5-45 cm depth.  $DS_{ini}$  = initial degree of saturation. SD = snow depth.

795

796 **Abstract**

797 Sustainable urban drainage solutions (SuDS) are a diverse set of design options that mitigate floods and improve water  
798 quality. Grass swales are the sole component of SuDS that transports runoff over long distances to downstream  
799 recipients. A deeper understanding of the performance of grass swales in different winter conditions is important in order  
800 for cities to achieve a greater climate resiliency. The goal of this study was to assess the impacts of frequent rain-on-  
801 snow, and freeze-thaw cycles on the hydrologic performance of grass swales. A total of 63 field synthetic runoff  
802 experiments were performed in a 5.8 m long section of a grass swale in the Urriðaholt neighborhood, Gardabaer, Iceland  
803 over 18 months. A three-fold reduction in peak flow attenuation was observed in winter (avg. 13%) compared to summer  
804 (avg. 38%) for hydraulic loadings ranging between 19 to 131 cm/h. The reduction in the performance of the swale was  
805 primarily due to frost formation and secondarily due to snow. The frequent rainfall, snowmelt, and rain-on-snow events  
806 elevated the soil water content and rendered the swale media susceptible to frost formation. The formation of pore ice  
807 within the 5 cm soil horizon led to a considerable reduction in soil porosity, which negatively affected the infiltration  
808 capacity, and shortened runoff lag times. Snow affected the performance by concentrating the flow in narrow channels,  
809 which reduced the effective area of infiltration, but also led to longer lag times and stored a portion of the runoff water  
810 within its pack. Despite the deterioration in the swale efficiency in winter, infiltration was observed in all synthetic runoff  
811 experiments, indicating that frost was either porous/granular, or heterogeneous in nature. The swale served its purpose to

812 moderately reduce runoff peaks and volumes, especially for small and medium events. This research highlights the  
813 importance of effectively draining infiltration-based systems in cold climates to avoid the adverse effects of low  
814 temperatures.

815

### 816 **Author contributions**

817 Tarek Zaqout: Conceptualization, Methodology, Software, Validation, Formal analysis, Investigation, Resources, Data  
818 Curation, Writing – Original Draft, Visualization. Hrund O. Andradottir: Conceptualization, Methodology, Resources,  
819 Writing – Review and Editing, Supervision, Project administration, Funding acquisition.

820

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### 822 **Declaration of interests**

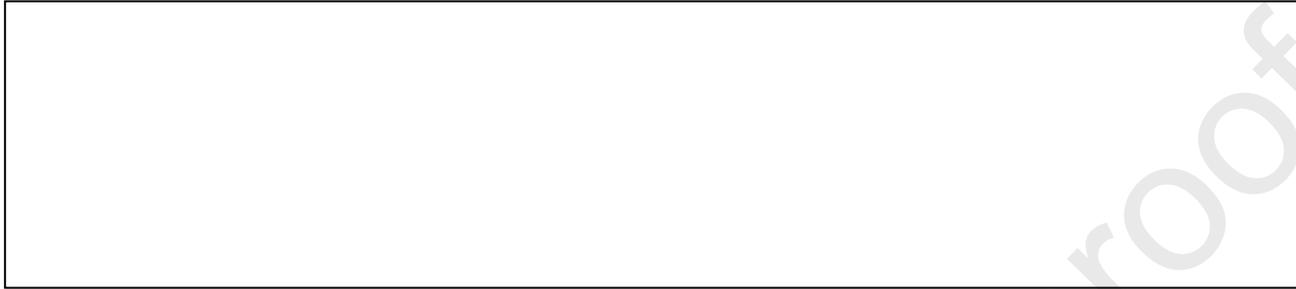
823

824  The authors declare that they have no known competing financial interests or personal relationships that could  
825 have appeared to influence the work reported in this paper.

826

827  The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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**Figure**

834

### 835 **Captions**

836 **Fig. 1** Urridaholt urban catchment in Gardabær. The location of the study swale (dot), swale network (double line), the  
837 drainage area for the swale (crosshatch), building plots (even shade), streets (single heavy line), and lake Urridavatn  
838 (triangle).

839 **Fig. 2** Schematic of the experimental setup, the swale dimensions and slopes, the location of the inflow and outflow  
840 measurements, water content and soil temperature sensors, and the delivery system.

841 **Fig. 3** Example of synthetic experiments data collection conducted on 22.02.2020. (a) Surface hydrological response,  
842 (b) subsurface response shown as the total degree of saturation, and (c) schematic of the changes in snow cover during  
843 the experimental runs. Dotted vertical lines indicate the different phases of wetting, runoff, and drainage.

844 **Fig. 4** Meteo-hydrological conditions during winter and spring 2019-2020. (a) Snow depth (Reykjavik Station No. 1),  
845 (b) precipitation and air temperature, (c) soil temperature, and (d) soil water content. Double dashed lines = rainfall,

846 synthetic experiments, and RoS events leading to frost. Dotted lines = days at which the experiments were conducted;  
847 Partial frost “PF”, no frost “NF”, and gray shade highlights the longest soil frost period.

848 **Fig. 5** Hydrological performance metrics in terms of surface conditions. (a) Relative peak flow reduction, (b) relative  
849 volume infiltrated, (c) runoff lag time, (d) areal efficiency, and (e) soil drainage capacity.

850 **Fig. 6** Linear regression between hydrological inputs ( $Q_{in}$ ) and peak flow reduction (left) and areal efficiency (right) for  
851 different surface conditions. (a) Neutral, (b) snow, (c) snow on frost, (d) frost, and (e) warm conditions.

852 **Fig. 7** Linear regression between the initial degree of saturation of the first events and peak flow reduction in summer  
853 (dot) and winter (triangle).

854 **Fig. 8** Selected runoff events hydrographs. (a) Medium inflow with low degree of saturation, (b) low inflow with high  
855 degree of saturation, (c) high inflow with 30 cm of snow and frozen soil, and (d) low inflow with 30 cm of snow and  
856 unfrozen soil.

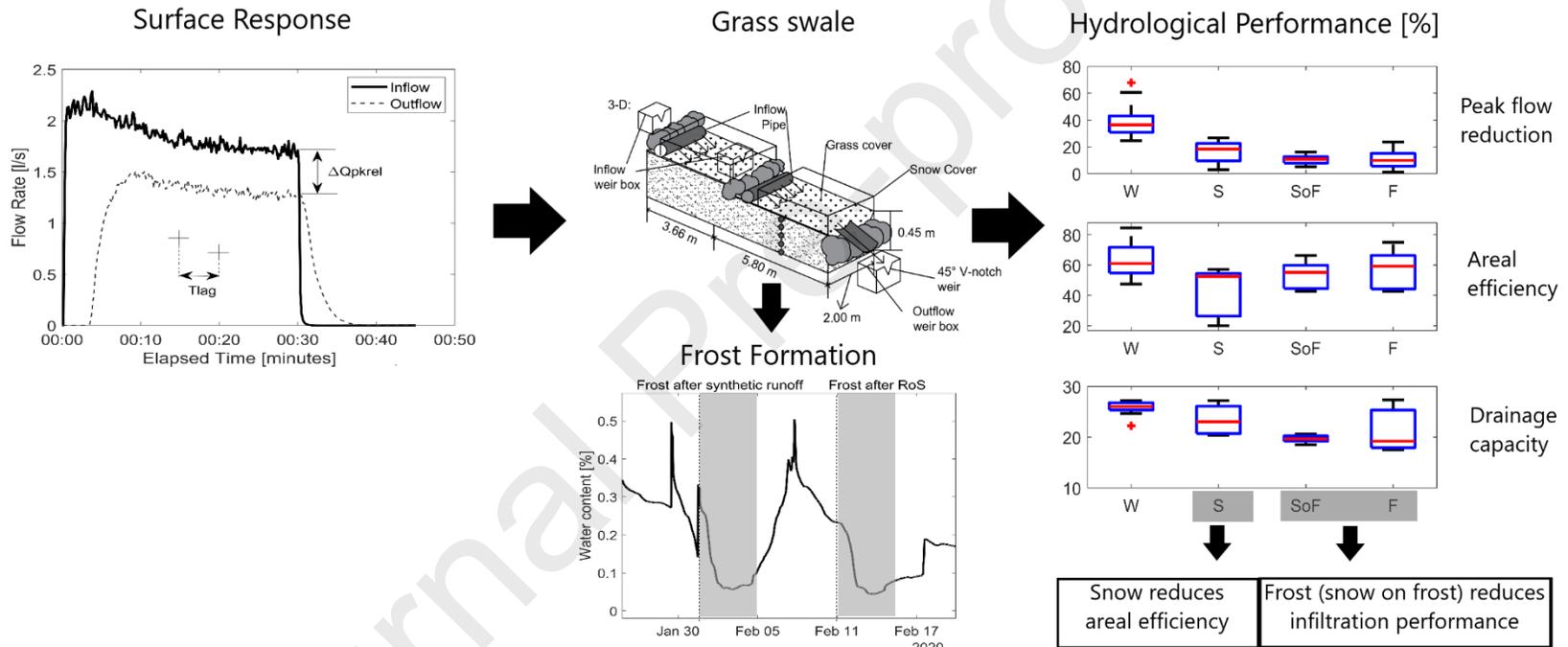
857 **Fig. 9** Soil response during synthetic events with different soil conditions (from left to right = partially frozen, frozen,  
858 and neutral). (a) Degree of saturation, (b) soil temperature, and c) effective porosity within the first layer during synthetic  
859 experiments. Solid vertical line indicates the start of runoff.

860

861

# Hydrologic performance of grass swales in cold maritime climates: Impacts of frost, rain-on-snow and snow cover on flow and volume reduction

Tarek Zaqout, Hrund Andradottir



862

## 863 Highlights

- 864
- Swales in maritime cold climates are susceptible to frequent frost formation.

- 865
- Frost reduces soil porosity and infiltration capacity of grass swales.
- 866
- Snow leads to concentrated runoffs which reduces the infiltration capacity.
- 867
- Rain on snow events followed by freezing temperatures promote frost formation.
- 868
- A well-drained soil is essential to avoid the adverse effects of cold climates.
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- 870
- 871

Journal Pre-proofs